Spectral and spatial shaping of a laser-produced ion beam for radiation-biology experiments

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The study of radiation biology on laser-based accelerators is most interesting due to the unique irradiation conditions they can produce, in terms of peak current and duration of the irradiation. In this paper we present the implementation of a beam transport system to transport and shape the proton beam generated by laser-target interaction for in vitro irradiation of biological samples. A set of four permanent magnet quadrupoles is used to transport and focus the beam, efficiently shaping the spectrum and providing a large and relatively uniform irradiation surface. Real time, absolutely calibrated, dosimetry is installed on the beam line, to enable shot-to-shot control of dose deposition in the irradiated volume. Preliminary results of cell sample irradiation are presented to validate the robustness of the full system.

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I. INTRODUCTION

Important progress on laser-driven ion acceleration by intense and ultrashort laser pulses has been achieved in the past decades. Repetition rates allow multiple laser shots per second, new proton energy records have been achieved [1], and new acceleration models have been proposed and are currently under investigation (radiation pressure acceleration [2], laser breakout afterburner [3], or collisionless shocks [4]). Available energies and beam repeatability open the possibility to study ionization effects caused by laser-produced proton beams on living tissues [5].

At present, the intrinsic properties of laser-accelerated beams are not suitable for direct irradiation of a sample, as they typically display a broad energy spectrum and a wide angular distribution [6]. A proper beam line needs to be set up in order to produce experimental conditions compatible with the needs of a biological study. Among other features, a wide irradiation area of constant dose rate and the precise retrieval of the deposited dose are mandatory.

Ongoing research is dedicated to control charged particle beams. For instance, quadrupole doublets [7,8] or triplets [9] and solenoids [10–12] were used to focus or transport them. In the context of laser-plasma accelerators, magnetic devices have been employed to focus and improve the stability of electron beams [13]. Ion beam characteristics modeling has been accomplished with either special target structures [14,15] or by using various types of magnetic apparatuses [16]. With the aim of performing radiobiological studies, a chicane made of four dipole magnets [17], and a quadrupole doublet [18] were also used, but none of them manages to meet all of the requirements for fruitful radiobiological studies, as listed by Kraft in [19].

Several research groups worldwide have been working to investigate the biological response to the high dose rates produced by laser-accelerated beams, even though strict requirements with respect to beam parameters such as particle energy, stability, dose monitoring devices and patient safety have to be fulfilled before a clinical application [20–22]. In many studies, the cell response was examined as a function of the laser-driven proton beam dose deposition that was adjusted either with the number of laser shots fired [5,19], or by changing the incident beam energy in single-shot conditions [23]. Laser systems were optimized to accelerate electron beams suitable for cell irradiation experiments [24] and their effects were...
compared with that of continuous beams delivered by clinical linac [25]. Finally, efforts were also directed towards the development of dosimetric systems [23,26].

In the present experiment, a system of four permanent magnet quadrupoles (PMQ) is used to transport a chosen spectral component of the proton beam far from its generation point (1 m). The intrinsic energy selection allows one to obtain a wide irradiation surface (ø 2 cm) with a relatively uniform dose deposition for in vitro studies. Absolutely calibrated dosimetry was established and confirmed by the survival rate of the cell lineage HCT116, indicating a deposited dose of $1.15 \pm 0.25$ Gy/shot.

II. PROTON SOURCE

The experiments have been conducted on the SAPHIR laser facility at the Laboratoire d’Optique Appliquée. The proton source is provided by a 200 TW Ti:sapphire laser, which was focused on a 5 μm thick titanium foil with a 45° incidence angle by a 30° off-axis parabolic mirror, having an f-number of f/3.4. The laser delivers 3 J per pulse on target, with a pulse duration of 25 fs and a high temporal contrast ($10^{-10}$). The focal spot diameter was 10 μm at $1/e^2$, providing a peak intensity of $I_0 = 4 \times 10^{19}$ W cm$^{-2}$ at $\lambda = 800$ nm. The target was chosen to be thick enough to limit the detrimental effects of the laser temporal contrast on the spatial and energy stability of the accelerated proton beam [27].

The protons were generated by target normal sheath acceleration (TNSA) [28,29]: laser-heated electrons drive the expansion of the thin metal foil, creating a strong transient accelerating field (~TV m$^{-1}$) which in turn extracts, along the normal direction of the target rear surface, protons and carbon ions coming from water and organic contaminants. TNSA proton beams are characterized by a large divergence angle and energy spread (Boltzmann energy distribution with a cutoff), these features depending on the laser and target parameters [6]. In our experimental conditions, the beam had a cutoff energy of 8 MeV and a FWHM average divergence angle of 24° at 5 MeV. In Fig. 1 is shown the beam divergence for several energies, obtained from a six-layer stack of Gafchromic HD-V2 radiochromic films recording the beam spatial profile averaged over 25 shots 4 cm behind the interaction point.

The large angular divergence and energy spectrum, but also the presence of other types of ionizing radiations (x rays, electrons, heavy ions such as carbon and oxygen in various ionization states) makes nonrealistic the direct use of the beam for any practical application.

III. PROTON BEAM TRANSPORT AND SHAPING

A. Transport

A set of four permanent magnet quadrupoles designed by INFN-LNS researchers [30–32] was used for this purpose. PMQ lenses have the advantage of being relatively compact while producing a high field gradient within a relatively large aperture. In the present case, the system consists of two sets of two PMQs of 40 and 80 mm length, with a magnetic field gradient of about 100 Tm$^{-1}$ inside a 2 cm diameter net bore. The compact size enables us to install the device inside the interaction chamber as close as possible to the interaction point. The distance separating the PMQs can be changed to adjust the output beam according to the required size and energy.

The final configuration for the transport beam line is illustrated in Fig. 2. The first quadrupole (PMQ1) was placed 5 cm behind the target. Despite the high beam divergence (see Fig. 1), this enables one to collect all the protons above 4 MeV at the entrance of PMQ1, which includes all the particles that have a sufficient energy to reach the output sample.
The relative spacings between the PMQ elements were optimized using the TraceWin Multiparticle calculation code. The simulated envelope, along with the particle losses for a 5 MeV beam, are shown in Fig. 3. The aim of this configuration was to limit the losses along the transport, while obtaining a relatively large output beam with a low divergence. The goal was also to filter the beam in order to get a narrow energy spectrum with a Bragg peak located closely behind the sample layer, so as to maximize the dose deposition in the sample to be irradiated. Most of the losses occur in the first PMQ as protons are defocused along the $x$ axis, but the transmission efficiency of 15% for the selected energy of 5 MeV is a remarkably high figure. The beam size obtained at 56 cm from the last PMQ is $10 \times 15$ mm. In perspective, one could also consider using octupoles to achieve a better beam profile uniformity\[33,34\].

**B. Energy selection**

PMQs are chromatic so a given configuration is optimized for a single energy component of the beam. This is demonstrated by simulations showing large losses for protons with energies outside the range for which the setup was optimized. It is however impossible to adjust the position of the various PMQs to get the desired profiles for several proton energies independently.

The spectrum is measured at the end of the beam line, right before the Mylar foil (see Fig. 2) by a Thomson parabola spectrometer (TPS). Tracks are visualized on a microchannel plate detector to retrieve the proton beam spectrum. The effect on the final spectrum, simulated from the measured proton spectrum, is shown in Fig. 4 (the code proved to match well experimental observations [32]). As expected the transmission efficiency increases at higher energies [Fig. 4(a)]. The output proton spectrum is narrower and centered around 5 MeV [Fig. 4(b)].

Even though some carbon and oxygen ions are detected by the TPS, no ions other than protons will be found in the beam propagating in air as all heavy ions at the considered sub-MeV energies are stopped in the Mylar window. Concerning protons, they need to have an energy greater than 4.4 MeV to propagate through the entire system without being stopped before depositing energy in the output sample. Relativistic electrons are also generated during the laser-plasma interaction, but they are completely rejected by the PMQ system. According to simulations, electrons with initial energies lower than 10 MeV are not able to reach the vacuum chamber exit. An $x$-ray signal is emitted from the plasma in $4\pi$ sr so some of it reaches the sample, which is in a direct line of sight of the source point. Its intensity was recorded on an IP in a configuration not transmitting particles and the measurement was 3 orders of magnitude lower than the proton signal obtained in regular conditions.

**IV. DOSIMETRY**

In order to monitor the proton source for variations, e.g. the laser parameters as well as target positioning.
and surface inhomogeneities, a transmission monitor chamber is used, allowing precise control of the final dose. A PTW transmission monitor ionization chamber model 786 was used (155 mm diameter, 0.11 mm equivalent water thickness), combined with a PTW electrometer UNIDOS® E Universal Dosemeter to read the measurements.

The advantages of such a thin flat transmission ionization chamber (TIC) is that it can provide an online monitoring of the beam passing through it, on its way to the region of practical use. The TIC can be permanently installed while the output beam can still be used for the desired application.

A. TIC calibration experiment

The TIC has been absolutely calibrated at the Institut Curie’s Proton Therapy Center in Orsay (CPO), France. The source is an IBA C230 isochronous cyclotron, which generates a proton beam with an initial energy of 235 MeV. The beam energy is lowered to 201 MeV right at the cyclotron output and further reduced down to 76 MeV as per treatment protocol of eye tumors. This second attenuation is achieved with 175 mm of polycarbonate (Lexan) and 1 mm of lead placed about 1 m after the beam exits the transport line under vacuum, and 5 m before the irradiation spot. A final attenuation to 20 MeV is used for our experiment, thanks to 36.1 mm of Plexiglas placed in front of the TIC. The beam was also shaped with a collimator to a square aperture of side 2 cm (see a drawing of the setup in Fig. 5 and the energy deposition transverse profile of the CPO beam in Fig. 6).

The TIC is calibrated against the reference ionization chamber (compact thimble air ionization chamber CC13) which is routinely used at the CPO. Combining the measurements of both ionization chambers, the dose deposition in the CC13 is related to the charge measured in the TIC by the linear relationship:

$$\eta_{\text{indirect}} = \frac{D_{\text{CC13}}}{Q_{\text{TIC}}} = 1.6 \pm 0.1 \text{ cGy}_{\text{CC13}}/n_{\text{TIC}}.$$  

(1)

where subscripts have been added to the units to remind to which element they are associated to.
B. Simulations for absolute dose retrieval

In order to retrieve the TIC calibration for the output dose deposition at the lower proton energies provided by the SAPHIR laser-plasma accelerator, Monte Carlo simulations have been run with the Geant4 toolkit [35]. In a first step, the CPO calibration setup (Fig. 5) was simulated to get the absolute dose calibration of the TIC. The ratio of average dose deposited per primary proton in the CC13 and TIC sensitive air volumes is

\[ R_{\text{dose}}^{\text{CPO}} = \frac{\text{DoseSimu}_{\text{TIC}}}{\text{DoseSimu}_{\text{CC13}}} = 0.14. \]  

(2)

The proton beam fluence was well uniform in the area imposed by the 2 cm side square collimator aperture, as indicated by the scan of the RCF EBT2 stack placed behind it and displayed in Fig. 6. Indeed, the FWHM of the dose deposition signal is 24.7 mm and the signal has a 20 mm width at 70% of the maximum intensity. The ratio of total deposited dose in the ionization chambers should take into account the geometrical factor of irradiated areas. In fact while the CC13, surface \(5 \times 5\) mm\(^2\), was fully illuminated, the beam cross section is considerably smaller than the TIC active surface, which limits the irradiation to \(2 \times 2\) cm\(^2\) of its useful diameter, as set by the square beam collimator. The illuminated section ratio is

\[ R_{\text{surf}} = \frac{S_{\text{TIC}}}{S_{\text{CC13}}} = \frac{4}{0.25} = 16. \]  

(3)

which gives, for the comparison of the dose deposition in the two devices for the actual CPO proton beam, the dose ratio:

\[ \eta_{\text{dose}} = R_{\text{surf}} \times R_{\text{dose}}^{\text{CPO}} = 2.3. \]  

(4)

Finally, combining the TIC indirect calibration in Equation (1) with the above dose ratio, the intrinsic TIC calibration represented by the factor correlating the charged measured in the TIC with the dose deposition inside its active air volume is obtained:

\[ \eta_{\text{TIC}} = \frac{Q_{\text{TIC}}}{\text{Dose}_{\text{TIC}}} = \eta_{\text{dose}} \times \eta_{\text{indirect}} = 3.7 \text{ cGy/TIC/nC}_{\text{TIC}}. \]  

(5)

The figures given previously are averaged on the nine individual calibration values obtained from the spatially resolved simulations performed for each grid area of the sample. The indicated 51% error is associated to the spatial variations observed over the nine sample sections, but it could have been overestimated due to some spatial beam features that are blurred after the beam propagates through the absorbing elements at the end of the beam line. Indeed, the standard deviation calculated from the signal recorded on the IP (in the white rectangle corresponding to the sample area illustrated in Fig. 8) is only 22%. This latter value is retained as the calibration error.

C. Irradiation conditions

A proton accelerated to an initial energy of 5 MeV (energy corresponding to the peak in the output spectrum) crosses the transmission ionization chamber (TIC) with an energy of 4 MeV while depositing \(D_{\text{TIC}}^{\text{exp}} = 4.0\) nGy (see Fig. 7). The charge measured experimentally was around \(Q_{\text{exp}}^{\text{TIC}} = 10\) nC per laser shot, with a standard deviation of \(\sigma = 15\%\) for a typical day with over a hundred shots, so according to the calibration (5) for our output proton beam passing through the TIC, it corresponds to a dose deposition in it of

\[ D_{\text{TIC}}^{\text{exp}} = Q_{\text{TIC}}^{\text{exp}} \times \eta_{\text{TIC}} = 37 \pm 5.6 \text{ cGy}. \]  

(8)

Hence, according to the calibration in (7), the average dose per shot received by the analyzed biological sample area is
\[ D_{\text{Cell}}^{\exp} = \eta_{\text{Cell}} \times Q_{\text{TIC}}^{\exp} = 1.15 \pm 0.25 \text{ Gy/shot}, \]  
(9)

and the number of output protons can be estimated to

\[ N_{\text{Cell}}^{H^+} = \frac{D_{\text{Cell}}^{\exp}}{D_{\text{TIC}}^{H^+}} = \frac{0.37}{4.0 \times 10^{-9}} = 9.3 \times 10^7 \text{ protons per shot}. \]  
(10)

The corresponding average fluence in the sample area, marked out by the white rectangle in Fig. 8, is

\[ F_{\text{Cell}} = \frac{N_{\text{Cell}}^{H^+}}{A_{\text{Cell}}} = 6.2 \times 10^7 \text{ protons/cm}^2. \]  
(11)

The accelerated proton bunch has an emission duration at the source in the order of a few picoseconds \[36\.\) After propagation over the one meter path length to reach the sample, the transmitted protons of the beam in the 4.5–6.5 MeV energy range are spread out in space due to their speed differential, and irradiate the sample for a duration of \[ \Delta t = 5.7 \text{ ns}. \] The peak dose rate inflicted to the biological sample is estimated to be

\[ \phi_S = \frac{D_{\text{Cell}}^{\exp}}{\Delta t} = 2.0 \times 10^8 \text{ Gy/s}. \]  
(12)

V. RADIOBIOLOGY EXPERIMENTS

The main goal of the present proton transport system optimization was to adapt the SAPHIR beam to biological studies. In order to get a stronger confirmation on the dosimetry protocol, radiobiological effects of laser-accelerated pulsed protons were assessed on human colorectal cancer HCT116 cells.

A. Foci analysis

We first analyzed DNA damage foci resulting from SAPHIR accelerated protons. The HCT116 cells, wild type (WT) or mutated for the tumor suppressor gene p53 (p53\(-/-\)) and known to be more radioresistant, were cultured in McCoy’s 5A (Modified) Medium (ThermoFisher Scientific), supplemented with 10% fetal calf serum and 10% fetal bovine serum, and 2% sodium bicarbonate. The cells were seeded in 96-well plates at a density of 5 x 10^3 cells per well. After 24 h, the cells were exposed to laser-accelerated protons at different doses, and the number of γH2AX foci was counted in the nuclei using confocal microscopy. The foci analysis was performed using the ImageJ software.

FIG. 7. Graph depicting the energy decrease (blue curve, vertical scale on the left) of an instance proton with a 5 MeV initial energy, as it propagates along the beam line. The energy deposited in the various absorbing elements encountered on its path is represented by the bar plot (vertical scale on the right). Each bar is centered in its energy deposition longitudinal range to preserve proportionality between the energy deposition value and the area of the corresponding bar.

FIG. 8. Transverse profile of the proton beam dose deposition at the transport line output, recorded on an imaging plate with 28 mm diameter. The IP was placed 1 m away from the target, at the biological sample location in air. The isodose curve at 8 PSL (i.e. 20% of the maximum recorded signal) is represented by the black line, and the white rectangle marks the area where the biological sample response was studied.

FIG. 9. Number of γH2AX foci generated in HCT116 WT and p53\(-/-\) cells irradiated with an increasing dose provided by four or eight shots of laser-accelerated protons \(n = 3\). For each data point, foci were determined from at least 200 nuclei.
1% penicillin and streptomycin. Cells were grown at 37 °C in a humidified atmosphere of 5% CO$_2$ in air. The cell containers used for irradiation were lumox® dish 35 (SARSTEDT) exhibiting a 25 µm thick lumox® bottom face. 4 × 10^5 cells were seeded on the entire lumox surface and were grown overnight. The culture dish was positioned vertically behind the exit window, outside of the vacuum chamber and behind the ionization chamber. Cells were subjected to four or eight successive shots. Appropriate control samples were treated under the same conditions including bringing the cell culture dish in a vertical position as for irradiation.

The cells were incubated one hour post irradiation at 37 °C and then fixed in 4% Formalin solution (Sigma). After cell permeabilization (0.5% Triton X-100) and saturation (2% FSC), the irradiation surface was delimited with Dako Pen (Agilent). The cells were incubated with a mouse monoclonal antibody against phosphorylated form of the variant histone H2AX (γH2AX, Merck) and then with a secondary antibody (Alexaflour 546 goat

![Survival curves of HCT116-WT and p53−/− cells after 137Cs (a, mean ± SD of three independent experiments), 20 MeV proton (b, mean ± SD of two independent experiments) or laser-driven irradiations (c, mean ± SD of three independent experiments). (d) Calculated D10 ratio between HCT116-WT and p53−/− cells for each irradiation condition.](image-url)
anti-mouse antibody, ThermoFisher Scientific). Picture acquisition was performed using DMi8 microscope and LASX software (Leica) at 40x magnification. Foci were automatically counted in each nucleus, using a home-made ImageJ macro and represented as a function of the applied dose. As shown in Fig. 9, increasing doses led to increasing amounts of detected foci in cell nuclei. For each irradiation condition, similar amounts of foci were counted in the two cell lines. These results suggest that despite the theoretical importance of the error on the dose, the DNA damage generated by laser pulsed protons showed a great reproducibility of the dose delivered to the sample.

B. Survival assays

In a second step, survival assays were performed. Depending on beam form and position deducted from image plate acquisition, a 9 mm × 18 mm area was delimited in which 6 × 10^4 cells were seeded. After exposure to laser-driven protons, cells were incubated for 4 hours and harvested with Accutase (Merck). Cells were then dispatched into three different wells of 12-well plate and placed in the incubator. Survival assays were also performed with the 20 MeV proton beam used at the Institut Curie Proton Therapy Center [37,38] and the 662 keV emission of a 137Cs source. Cells were seeded at a density of 4 × 10^4 cells/cm^2 in 25 cm^2 flasks, then exposed to 20 MeV protons (3 Gy min^-1) or 137Cs γ-rays (1 Gy min^-1) and returned to the incubator for 4 hours. The cells were then harvested and replated in six-well plates in triplicate at a density of 4 × 10^3 cells/cm^2. After five days, cells were harvested and counted using flow cytometry technology (Guava®, Millipore) and the exclusion of a propidium iodide solution added just before analysis (GuavaSoft).

Cell survival (S) curves were fitted to the linear-quadratic equation: \( S = e^{-D} - \beta D^2 \), where \( D \) is the dose of radiation and \( \alpha \) and \( \beta \) are adjustable parameters. For each experiment the 10% survival dose \( (D_{10}) \) was calculated using the \( \alpha \) and \( \beta \) parameters determined from curves drawn for best fit to the experimental data (Kaleidagraph software). Cell survival values obtained in response to increasing doses of irradiation with laser accelerated protons allowed one to perform a reliable linear quadratic regression analysis [Fig. 10(c)], as for 137 cesium or 20 MeV conventional protons [Fig. 10(a) and 10(b)]. As shown in Fig. 10(d), the \( D_{10} \) ratio between HCT116-WT and HCT116-p53^-/- corresponding to pulsed protons (0.62 ±0.4) appears to be reduced compared to those of 20 MeV conventional protons and 662 keV photons (0.75 and 0.72 ±0.06 respectively). However, this apparent reduction in effectiveness of the pulsed protons was not statistically significant \( (P \geq 0.05) \). It results probably from the relatively large error but was acceptable for the proof of principle experiments for use of the SAPHIR facility for radiobiological studies. All together, these results reflected the good control of the dosimetry to sample and the stability of the beam. The uncertainty on the dose measurement in this study is comparable to others [18,39-41], although the useful irradiation surface in our case is much wider.

VI. CONCLUSIONS

In conclusion, we designed and implemented an efficient transport line for TNSA accelerated protons. Out of a divergent emission with exponential spectrum to 8 MeV, a limited spectrum, 5 MeV proton beam was produced. The transport line was optimized to produce a uniform spot of 15 mm × 25 mm in air at a distance of 1 m from the source. The 15% transport efficiency of the energy component of interest can be improved using a solenoid [12,42]. Its radial magnetic field ensures a more efficient initial collection of the proton beam.

Online dosimetry, provided by a calibrated transmission ionization chamber, showed a transported charge of 9 × 10^7 protons per shot. Dosimetry is confirmed by survival curves of HCT116. The dose deposited in the cell monolayer culture is 1.15 ±0.25 Gy/shot, with an estimated peak dose rate exceeding 10^8 Gy/s. These results open the way to extensive study of the effects of ultrahigh dose deposition rates in living matter.

Laser-accelerated ions are still far from clinical use [43]. For instance, accelerating with laser pulses protons up to 200 MeV, the energies required for protontherapy, remains a major challenge that is currently motivating numerous research studies in the scientific community. The present work offers a system that can be used to perform radiobiological studies in the ten MeV proton range that is achieved nowadays by several laser research facilities worldwide. This is a relevant energy for biological studies as a proton beam with an initial energy of a couple hundreds of MeV will be attenuated down to such an energy level when it reaches a target tumor located at a depth inside a body. The most important cellular damage is occurring at low proton energy, with the relative biological effectiveness peaking for a linear energy transfer of about 100 keV μm^-1, which corresponds to 0.1 MeV protons. As the proton energy is scaled up, stronger focusing fields will have to be used and the transport line technology will have to be adapted. Designs have already been envisioned based on pulsed magnet optics [44].

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