

Effect of imaginary gauge on wave transport in driven-dissipative systems

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Wave transport in disordered media is a fundamental problem with direct implications in condensed matter, materials science, optics, atomic physics, and even biology. The majority of these studies focuses on disorder-induced localization in Hermitian systems. However, recent studies of non-Hermitian disordered media have revealed unique behaviors, with a universal principle emerging that links the eigenvalue spectrum of the disordered Hamiltonian and its statistics with its transport properties. In this work we show that the situation can be very different in driven-dissipative lattices of cavities, where a uniform gain applied equally to all the components of the system can act as a knob for controlling the wave transport properties without altering the eigenvalue statistics of the underlying Hamiltonian. Our results provide deeper insight into the transport properties of disordered media and will aid in the development of new devices. Our work, which is presented in the context of optics, generalizes to any physical platforms where gain can be implemented. These include acoustics, electronics, and coupled quantum oscillators such as atoms, diamond centers, and superconducting qubits.

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I. INTRODUCTION

Wave transport in random and disordered media was originally studied in the context of condensed matter physics to explain electron transport properties [1]. The notion of Anderson localization shaped the future of solid-state physics and has been widely investigated both theoretically and experimentally [2–11]. Over the past decades, it has been recognized that disorder plays a central role in shaping wave dynamics on various platforms, ranging from optics and photonics [12–22], quantum physics [23,24], and atomic systems [25–28] to acoustics [29–31] and even biology [32]. Interestingly, it was also shown that effects analogous to wave localization in disordered media are linked to the failure of some quantum algorithms [33]. With only a few exceptions [34–36], a common theme among all studies that considered localization in linear and conservative media, is their focus on systems described by Hermitian Hamiltonians having real-valued spectra.

However, recent interest in the physics of non-Hermitian systems and its unique characteristics, such as phase transitions between real and complex eigenvalues in parity-time ($\mathcal{PT}$) symmetric Hamiltonians [37], the presence of non-Hermitian singularities known as exceptional points (EPs), and the nonorthogonality of eigenvectors [38,39], as well as potential applications in optics and photonics [40–47], have

led to more careful investigations into wave transport in disordered non-Hermitian media. In this context, the interplay of non-Hermitian disorder and Anderson localization has been recently theoretically investigated. More specifically, among the key studies are the concept of constant intensity waves, where propagation through disordered media is possible without any backscattering [48–50], Anderson localization in two- and three-dimensional lattices [51,52], and transport discontinuities known as “jumpy” propagation or Anderson jumps [53,54]. These ideas have been recently observed experimentally [55–57].

These developments led to a revived interest in Anderson localization, but from the non-Hermitian perspective, resulting in investigations of topological effects, including impurities, scaling theories, and topological Anderson transitions [58–63]. Additional studies in non-Hermitian quasiperiodic systems, particularly in the non-Hermitian extension of the Aubry-Andre-Harper model, have revealed localization transitions and mobility edges in quasicrystals [64–69]. Along these lines, further theoretical studies have examined chaotic disorder [70], while experiments have been performed to study open transmission channels [71] and Anderson transitions in parity-time symmetric systems [72].

Among the various mathematical techniques used to study wave propagation in random media, a particularly useful organizing principle is the statistics of eigenvalue spacings, often referred to as level-spacing statistics [73,74]. In Hermitian systems with real spectra, these statistics are defined by the gaps between consecutive eigenvalues. Their importance is vividly demonstrated by the one-dimensional Anderson model [4], which is formally known to exhibit

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localization for any level of disorder [5]. For a finite lattice consisting of N elements, when disorder is weak, neighboring eigenstates still overlap appreciably across the system, giving rise to level repulsion and a Wigner-Dyson distribution, characterized by $P(S=0)=0$, that reflects the correlations induced by this overlap. In contrast, for strong disorder, eigenstates become localized with smaller localization lengths, minimizing spatial overlap with different states occupying distinct regions across the lattice. Consequently, they can have identical (or near-identical) eigenvalues, i.e., $P(S=0) \neq 0$, and the eigenvalue statistics follow a Poisson distribution, indicating the absence of correlations between different states. This transition in spectral statistics is mirrored in the system's transport behavior. For instance, a uniform waveguide array without disorder exhibits regular discrete diffraction [10], whereas adding disorder can lead first to diffusive spreading and, at sufficiently high disorder, to Anderson localization of the propagating wave [11].

In non-Hermitian systems, the situation is complicated by the fact that eigenvalues are generally complex. As a result, there is no unique rule to organize the spectrum to order the eigenvalues and define eigenvalue statistics. For instance, one may consider the real (or imaginary) parts of the eigenvalues as an ordering criterion. Alternatively, one may consider their absolute value, as has been done in Refs. [51–53]. This, in turn, raises the question of whether eigenvalue statistics necessarily governs transport properties in non-Hermitian systems, as it does in their Hermitian counterparts.

In this work, we demonstrate that this widely held expectation does not always hold. Specifically, we focus on driven non-Hermitian linear systems operating below the lasing threshold. Such systems are described by non-Hermitian Hamiltonians even in the absence of coupling to input and output channels. Instead of the standard initial value problem commonly studied in disordered systems, we adopt an input-output formalism for our analysis. We show that in this setup, wave transport can be independent of the level spacing properties associated with the underlying non-Hermitian Hamiltonian of the system. These results open new directions in understanding and tailoring wave dynamics in disordered and random media. We emphasize that although our analysis is general and applicable to any discrete disordered system described by a tight-binding Hamiltonian, we focus on photonic implementations due to their well-established role in revealing exotic features of non-Hermitian physics. Accordingly, we restrict our attention to finite systems comprising tens of sites, rather than considering the thermodynamic limit typically studied in condensed matter contexts. The eigenvalue statistics of such finite systems do not exactly replicate those of infinite systems. However, depending on various length scales, such as the localization length and the system size, distinct statistical signatures may still emerge in the finite-size spectrum.

II. PHYSICAL MODEL OF COUPLED CAVITIES

Discrete non-Hermitian optical systems have become a focal point of theoretical and experimental research, with implementations of these systems frequently utilizing waveguide or cavity arrays, as shown in Figs. 1(a) and 1(b). Within

the framework of coupled mode analysis, these systems can be described by the spatial [75] and temporal [76] coupled mode theories (CMT), respectively:

$$i \frac{d|\psi_w\rangle}{dz} = H_w |\psi_w\rangle \quad (1)$$

$$i \frac{d|\psi_c\rangle}{dt} = H_c |\psi_c\rangle + \Gamma |S_{\text{in}}\rangle. \quad (2)$$

In the above, $|\psi_{w,c}\rangle$ represent the field amplitude vectors in the waveguide or cavity array, respectively, and $H_{w,c}$ are the corresponding Hamiltonians that encode information about the individual elements (propagation constants or resonant frequencies in the diagonal) as well as their interactions (coupling coefficients in the off-diagonals). The field amplitude at site n is given by the component $\psi_{w,c,n} \equiv \langle n | \psi_{w,c} \rangle$. Moreover, z is the propagation distance along the waveguide array and t denotes time. Finally, Γ is the coupling matrix between the array elements and the external excitation channels, and $|S_{\text{in}}\rangle$ is the excitation vector. Additionally, in the case of cavity arrays, Eq. (2) is supplemented by the relation $|S_{\text{out}}\rangle = |S_{\text{in}}\rangle - i\Gamma^\dagger |\psi_c\rangle$ that connects the internal fields $|\psi_c\rangle$ to any relevant output ($|S_{\text{out}}\rangle$) and input ($|S_{\text{in}}\rangle$) channels [77].

Before proceeding, we first highlight key generic differences between Eqs. (1) and (2). Equation (1) describes an initial value problem, where the evolution of the wave function along the propagation direction is determined by its initial distribution and the Hamiltonian H_w . In contrast, Eq. (2) represents a driven-dissipative system, where $|S_{\text{in}}\rangle$ acts as the driving term. This system admits a steady-state solution under harmonic excitation, given by $|\Psi_c(\omega)\rangle = G(\omega, H_c) \Gamma |\tilde{S}_{\text{in}}(\omega)\rangle$, where $G(\omega, H_c) \equiv (\omega - H_c)^{-1}$ is the resolvent operator. In the above, $|\Psi_c(\omega)\rangle$ and $|\tilde{S}_{\text{in}}(\omega)\rangle$ are the Fourier transformations of $|\psi_c\rangle$ and $|S_{\text{in}}\rangle$, respectively. A central result of this work is to show that, in the latter system, the introduction of uniform gain leads to a qualitative change in the transport dynamics, rather than merely amplifying the signal as in the former case.

In this work, we consider one-dimensional finite arrays such as shown in Fig. 1. Hence, the Hamiltonians take the form

$$H_{w,c} = \kappa \sum_{n=1}^{N-1} (|n\rangle \langle n+1| + |n+1\rangle \langle n|) + \tilde{\omega} \sum_{n=1}^N |n\rangle \langle n|, \quad (3)$$

where n is the index of the elements with $n \in 1, 2, \dots, N$; κ denotes the coupling between the elements; and $\tilde{\omega}$ are defined as $\tilde{\omega} \equiv \omega_0 - i\gamma_c - i\gamma_w(\delta_{n,1} + \delta_{n,N})$ (in the case of waveguides it is replaced with their propagation constants β). Additionally, in the cavity array, when the input and output ports are considered, we have two additional terms entering as loss through the waveguides (γ_w). In our setups we consider identical cavities with the same resonant frequency and cavity losses.

Given the mathematical analogy between spatial and temporal coupled mode theories, it is tacitly assumed that these two systems are equivalent. Consequently, the results obtained for one platform are automatically mapped onto the other. This mapping, however, ignores a fundamental difference between the two setups, namely, that the spatial CMT is an initial value problem whereas the temporal CMT describes a driven-dissipative system (see Fig. 1). Thus, while the two setups

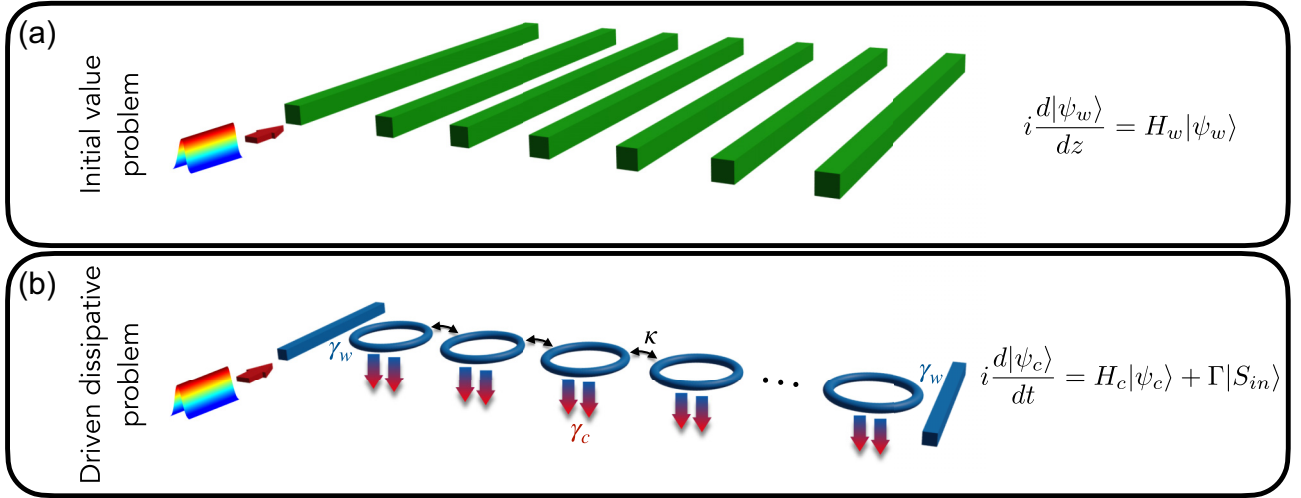


FIG. 1. Schematic representation of two distinct photonic systems. (a) An array of coupled waveguides and (b) an array of coupled cavities. The spatial and temporal coupled mode equations governing each system are also shown. Usually, it is tacitly assumed that the spatial coupled mode description of system of coupled waveguides and the temporal coupled mode description of coupled cavities leads to similar results due to the close mathematical resemblance of the corresponding system matrices H_w and H_c , respectively. However, the resulting dynamics can be quite different due to the presence of the driving term $\Gamma |S_{in}\rangle$.

share the same spectral features for identical arrays (i.e., when $H_w = H_c$), there is no reason to believe that they must exhibit identical wave transport dynamics. As we demonstrate in this work, non-Hermitian effects can indeed lead to very different behavior of these two systems even when they have identical eigenvalue statistics. In what follows, we focus only on cavity arrays.

Before we continue, we comment here on the choice of the values of the parameters. In this work, we consider arbitrary units, normalizing the parameters of the coupled resonator optical waveguide (CROW) system for simplicity and generality. The resonant frequency is considered to be $\omega_0 = 0$ and the coupling constant between the cavities is set to $\kappa = 1$, representing typical coupling in such systems. The inherent cavity losses are assigned a value of $\gamma_c = 0.1$, while the loss parameter due to the coupling to the waveguides is set to $\gamma_w = 2$, reflecting that the waveguide coupling generally introduces larger losses compared to the inherent cavity losses. As a result, the coupling to the waveguides is higher than the coupling between the cavities, indicating stronger interaction with the external ports than between the cavities themselves. We emphasize that the values of the above parameters are chosen for illustration purposes, without any loss of generality or physical relevance. These normalized values correspond to actual physical conditions in CROW systems.

III. SPECTRAL ANALYSIS

In this section, we first study the spectral features of the system to identify, on one hand, the properties of its eigenvalue distribution and their connection to non-Hermitian symmetries, and on the other hand, the characteristics of its eigenvalue spacing statistics.

A. Spectral symmetry and sensitivity

We start our analysis by first characterizing the spectral features associated with a passive (i.e., without any gain)

discrete one-dimensional non-Hermitian array similar to that depicted in Fig. 1(b) under various types of Hermitian and non-Hermitian perturbations. To do so, we use a computational framework based on pseudospectra [78]. The most basic definition of the ε pseudospectrum of a non-Hermitian matrix H_c , with $\sigma(H_c)$ spectrum, is the union of all spectra of the matrices $H_c + Z$, where Z is a complex random matrix, with $\|Z\| < \varepsilon$, where $\|\cdot\|$ is the matrix norm, defined by $\|A\| = \sup_{x \neq 0} \frac{\|Ax\|}{\|x\|}$ [78–82]. In other words, we study the spectrum (Ω) of the perturbed Hamiltonian $H_c + Z$ for many different realizations (l) of the matrix Z . Importantly, to ensure that the comparison between different realizations is meaningful, the matrix Z is normalized according to

$$Z = \varepsilon \frac{Z}{\|Z\|}, \quad (4)$$

where ε indicates the perturbation strength and Z is the perturbation matrix prior to normalization. At this point we note that, when the perturbation matrix Z has a particular structure, then we refer to structured pseudospectra [78,83], in contrast to complex pseudospectra, where Z is a full complex random matrix. Here, we focus our attention on structured pseudospectra only, meaning diagonal ($Z_{nm} = \delta_{n,m} \cdot z_n$) and off-diagonal ($Z_{nm} = \delta_{n+1,m} \cdot z_n + \delta_{n,m+1} \cdot z_m$) perturbations where the matrix elements of Z (z_{nm}) are drawn from a normal distribution with zero mean and standard deviation equal to one. Notably, our results are independent of the specific choice of distribution, such as uniform instead of normal. In the case of diagonal perturbations, the nonzero elements of matrix Z correspond to deviations in the resonant frequencies of individual cavities, whereas, in off-diagonal ones, they represent perturbations in the couplings between the cavities. We investigate both real ($z_{nm} \in \mathbb{R}$) and imaginary ($z_{nm} \in i\mathbb{R}$) perturbations, or equivalently, Hermitian and non-Hermitian scenarios, respectively, to fully explore the system's behavior.

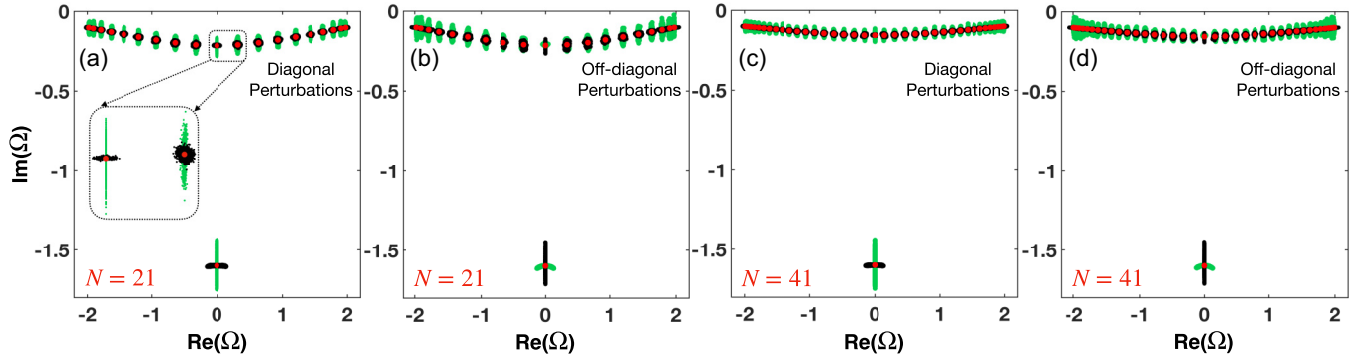


FIG. 2. Spectra (red dots) and structured pseudospectra (black and green dots) of our systems. (a), (b) The array consists of $N = 21$ cavities with diagonal and off-diagonal perturbations, respectively. Black dots represent real perturbations, while green dots correspond to imaginary perturbations, both with strength $\varepsilon = 0.1$. We consider $l = 1000$ different realizations. (c), (d) Similar results for $N = 41$ cavities. Notably, the perturbations produce contrasting effects near the central eigenvalues [$\text{Re}(\Omega) = 0$], where a sharp line emerges, as shown in the inset of (a). This behavior directly follows from particle hole symmetry, and for diagonal perturbations, the sharp line appears when the perturbations are imaginary, whereas for off-diagonal ones, it forms when the perturbations are real.

Figures 2(a) and 2(b) depict the distribution of complex eigenvalues (Ω) for an array made of $N = 21$ sites for diagonal and coupling perturbations, respectively, for both real (black dots) and purely imaginary (green dots) perturbations. In all cases, the perturbation strength is $\varepsilon = 0.1$, and similarly in Figs. 2(c) and 2(d), but for an array of $N = 41$ sites. In all subplots, red dots represent the spectrum of the ideal system, and since the number of sites was taken to be odd, there is always a zero mode in the absence of perturbations [84–86]. From Figs. 2(a) and 2(c), we observe that for real perturbations (black dots), the eigenvalues spread over a cloud-like structure in the complex domain. A similar behavior is observed for imaginary perturbations except for the central eigenvalue (the eigenvalue of the zero mode), where the distribution under such perturbations spreads over a sharp line on the imaginary axis. Close inspection shows that using only one nonzero element along the diagonal of the matrix Z actually produces an eigenvalue perturbation along a line in the complex domain (see also Appendix A). Each diagonal element, however, corresponds to a line of different slope. Together, these different lines form the cloud. However, in the case of imaginary perturbations, all the diagonal elements have the same slope, leading to the creation of the line. The opposite behavior can be observed for the coupling perturbations [Figs. 2(b) and 2(d)], where real perturbations (black dots) form the sharp line on the imaginary axis.

The occurrence of the eigenvalues in a line with $\text{Re}(\Omega) = 0$ for real off-diagonal perturbations in Figs. 2(b) and 2(d) is a result of the chiral symmetry of the system. On the other hand, the sharp line related to the imaginary diagonal perturbations of Figs. 2(a) and 2(c) can be explained by the particle-hole symmetry (also known as charge conjugation symmetry) associated with the system under consideration. While this terminology originates from condensed matter physics, where it describes the relationship between electron and hole states in tight-binding models, here we use its mathematical formulation in the photonic context. More specifically, we note that the Hamiltonian matrix H associated with the bipartite lattice structure of Fig. 1 can be expressed in different bases that cluster the two different sublattice groups (even and odd sites),

i.e., in the form

$$H_{eo} = \begin{bmatrix} iZ_o & K \\ K & iZ_e \end{bmatrix}, \quad (5)$$

where the block diagonal matrices $Z_{o,e}$ contain the imaginary perturbations at the odd and even sites, respectively, as defined in Eq. (4). The coupling between neighboring odd and even sites is represented by the block diagonal matrix $K = \kappa I$, where I is the identity matrix of appropriate dimension. It is straightforward to check that $\chi^{-1}H\chi = -H^*$, with the block diagonal chiral operator $\chi = \begin{bmatrix} 1 & \\ & -1 \end{bmatrix}$ [84–86]. As a side note, we remark that the above relation can also be cast in the form $C^{-1}HC = H$ where the antiunitary charge conjugation operator C is given by $C = \chi\mathcal{K}$ with the conjugation operator $\mathcal{K}$ [87]. This relation shows that the eigenvalues of H must be symmetrically distributed around the imaginary axis. This, in turn, implies one of two possibilities: either the eigenvalues are paired symmetrically around the imaginary axis or they lie on the imaginary axis. Remember that, in the example we considered, the number of sites was taken to be odd, which implies the presence of a zero mode. Given that small perturbations can only change the eigenvalues by a small amount, this zero mode will remain isolated even after introducing the perturbation and will thus be pinned by symmetry to change only along the imaginary axis. We further explore this for small systems in Appendix A.

B. Eigenvalue spacing

We now turn our attention to the eigenvalue spacing statistics of the disordered arrays. Before we proceed, we emphasize that our focus is on finite systems comprising tens of sites, rather than the thermodynamic limit of infinitely many sites. As noted earlier, such finite-size systems are more relevant to optics and photonics, where only a limited number of coupled cavities (typically tens) are experimentally feasible. Consequently, we do not expect the eigenvalue spacing to strictly follow the statistical distributions characteristic of large systems. Instead, we seek signatures or fingerprints of these distributions within the finite-size spectrum.

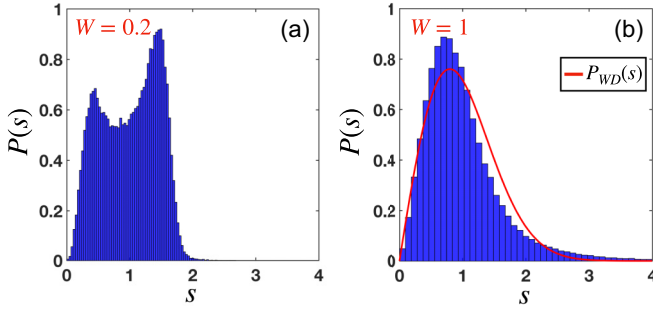


FIG. 3. (a), (b) Eigenvalue statistics for 5000 realizations of coupling disorder for two different strengths: $W = 0.2$ and $W = 1$, respectively. Red line in (b) represents the Wigner-Dyson distribution. The statistical behavior of the eigenvalues remains the same for other types of disorder.

In our study, disorder is introduced either in the resonant frequencies or the coupling coefficients, i.e., by using the substitution $\tilde{\omega}_n \rightarrow \tilde{\omega}_n + \epsilon_n$ or $\kappa \rightarrow \kappa + \epsilon_{n,n+1}$ with $\epsilon_{n,n+1} = \epsilon_{n+1,n}$ in Eq. (3). In both cases, the strength of disorder is characterized by the random variable ϵ_n , which takes random values drawn from a rectangular distribution as

$$\text{Re}(\epsilon_n) \in \left[-\frac{W_R}{2}, \frac{W_R}{2} \right], \quad \text{Im}(\epsilon_n) \in \left[-\frac{W_I}{2}, \frac{W_I}{2} \right], \quad (6)$$

where W_R and W_I denote the strengths of the real and imaginary components of the disorder, respectively. For simplicity, we assume these strengths are equal ($W_R = W_I = W$). To quantify the eigenvalue statistics $P(s)$, we follow the criterion outlined in Ref. [51]. Specifically, we compute the normalized minimum distance between two eigenvalues in the complex plane, defined as $s = \min |\Omega_j - \Omega_{j'}|$.

Our results are shown in Fig. 3 for a lattice of $N = 41$ cavities with coupling disorder, averaged over $l = 5000$ disorder realizations. We consider two disorder strengths: $W = 0.2$ in Fig. 3(a) and $W = 1$ in Fig. 3(b). For weak disorder ($W = 0.2$), the spacing distribution does not resemble any of the known forms due to finite-size effects. For stronger disorder ($W = 1$), the spacing distribution approaches the Wigner-Dyson expression $P_{WD}(s) = \frac{\pi s}{2} \exp(-\pi s^2/4)$, shown as the red line in Fig. 3(b). In Hermitian systems this law indicates extended states, whereas in our case, where eigenvalues lie in the complex plane, the same expression corresponds instead to the 2D Poisson distribution of uncorrelated eigenvalues [88–90]. We also evaluated the ensemble-averaged mean inverse participation ratio, $\langle \text{IPR} \rangle$ [91], obtaining $\langle \text{IPR} \rangle = 0.06$ for $W = 0.2$ and $\langle \text{IPR} \rangle = 0.18$ for $W = 1$, which for a lattice of $N = 41$ cavities, these values indicate stronger localization as the disorder increases.

IV. WAVE TRANSPORT IN DRIVEN-DISORDERED ARRAYS

In the previous section, we examined the spectral features of the system, which are common to both waveguide and cavity arrays. Here, we shift our focus to transport properties, specifically in the context of the driven-dissipative cavity system. The main result is that, in contrast to the waveguide system, which has been extensively studied, introducing

uniform gain in the cavity system can significantly alter its transport behavior.

To explore this, we consider the setup shown in Fig. 1(b), analyzing both passive and active scenarios within the non-lasing regime, i.e., when the total loss in the system exceeds the applied gain, and all eigenvalues lie in the lower half of the complex plane. In this model, lasing occurs when at least one eigenvalue reaches the imaginary axis. The latter regime is simply the same as the passive one, but with an additional uniform gain g , meaning the same identical gain value is applied to every cavity in the array as described in Ref. [92], and hence it represents an imaginary gauge. The total Hamiltonian for such systems is given by

$$H = H_c + ig \sum_{n=1}^N |n\rangle \langle n|. \quad (7)$$

The parameter g represents the uniform gain added to the system. In general, this gain can be combined with the inherent losses of the cavities (γ_c), resulting in a total effective shift to the spectrum of the system. When g equals zero, the system corresponds to the passive array discussed up to this point. If g is negative, it effectively increases the cavity losses, as the term can be absorbed into γ_c . This framework allows g to be treated as a uniform parameter that is the same for each cavity, representing either gain ($g > 0$) or increased loss ($g < 0$).

A critical observation here is that, regardless of how complex eigenvalue statistics are defined, this uniform gain does not alter these statistics, since it introduces a constant imaginary shift to the entire diagonal of the matrix H and hence a constant and identical imaginary shift to all eigenvalues. Intuitively, one might expect that such a trivial shift (which is an imaginary gauge) would not significantly influence the wave transport dynamics, since in the case of waveguides we can easily express the field amplitude at any distance z , as $|\psi_w(z)\rangle = e^{gz} e^{-iH_w z} |\psi_w(0)\rangle$. However, our results indicate that this is not correct in a driven-dissipative array. In Fig. 4, we plot the ratio between the power in the first and last elements of the array, namely, the transport $\eta \equiv |\psi_{c,1}/\psi_{c,N}|^2$ (inverse of transmission), as a function of the applied uniform gain g . We consider both the periodic and the disordered arrays while ensuring that the system remains below the lasing threshold. The array is excited from the left waveguide with $|\psi_{\text{in}}\rangle = |\psi_0\rangle e^{-i\omega_0 t}$ (remember, though, that in the numerical simulations we take $\omega_0 = 0$, which is a trivial shift to a rotating frame that does not affect the power calculations). In these simulations, we used the same numerical values of the array parameters as before. Hence, the coupling between the edge cavities and the waveguides is $\sqrt{2\gamma_w} = 2$.

As before, we examine two different lattice sizes: one with $N = 21$, for both diagonal and off-diagonal disorder [Figs. 4(a) and 4(b), respectively] and one with $N = 41$ for the same types of disorder [Figs. 4(c) and 4(d)]. For the $N = 21$ array, results for the periodic case are shown in red, while those for the disordered case are shown in blue. For the $N = 41$ array, the corresponding colors are green and black. The transport behavior is similar between the two lattice sizes, with the primary difference being the lasing threshold. This threshold occurs at $g \approx 0.102$ for $N = 21$ [red dashed line in Figs. 4(a) and 4(b)] and at $g \approx 0.1002$ for $N = 41$ [green dashed line in Figs. 4(c) and 4(d)]. The disordered arrays

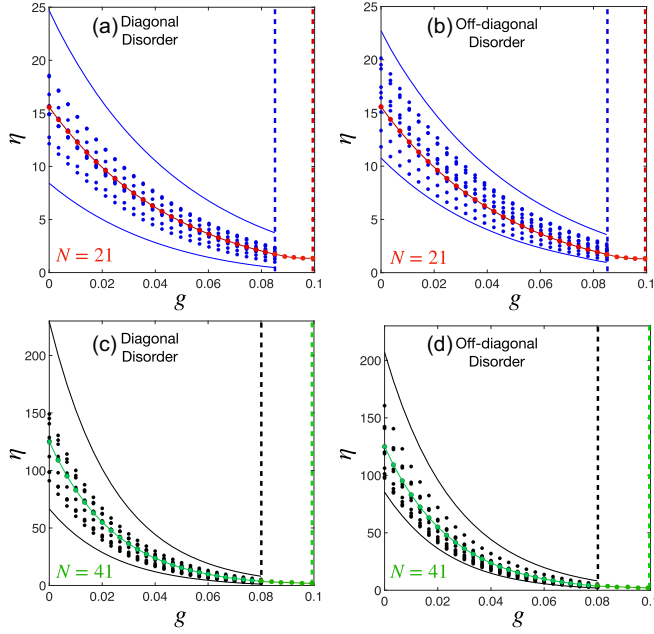


FIG. 4. Transport coefficient in a cavity array with $N = 21$ elements in the presence of (a) diagonal disorder and (b) off-diagonal disorder. Red dots and lines correspond to the periodic array ($W = 0$), while blue dots and lines correspond to disordered arrays with disorder strength $W = 0.1$. The blue lines represent the envelope of η values obtained from $l = 2000$ disorder realizations, whereas the blue dots show the results for $l = 9$ realizations. The lasing threshold of the disordered array (blue dashed line) differs from that of the periodic array (red dashed line). Since each realization can exhibit a different lasing threshold, we report the realization with the highest disorder value drawn from the box distribution. (c), (d) Same as (a) and (b) but for an array with $N = 41$ elements.

follow the same trend as we increase the uniform gain. Once again, we can control the power distribution within the array, but care must be taken since the lasing threshold shifts: for $N = 21$ with a disorder strength of $W = 0.1$, the lasing threshold occurs at $g = 0.085$ for the realization with the strongest disorder [blue dashed line in Figs. 4(a) and 4(b)], while for $N = 41$ it occurs at $g = 0.08$ [black dashed line in Figs. 4(c) and 4(d)]. The solid lines represent the envelope of the transport values over $l = 2000$ disorder realizations, while the dots show results from $l = 9$ realizations for illustration purposes.

From our results, we observe that the transport behavior exhibits a nontrivial dependence on the applied uniform gain g . In the passive system ($g = 0$), transport values $\eta > 1$ indicate that the power in the first cavity exceeds that in the last. This is expected, as the system is excited from the left port adjacent to the first cavity. As g increases, η decreases monotonically until it reaches unity, where the power in the last cavity equals that in the first. Interestingly, for certain disorder realizations, $\eta < 1$, indicating that more power accumulates in the last cavity—an effect achieved solely by tuning the uniform gain. It is important to note that at higher disorder strengths, the accessible range of g is limited, as the system reaches the lasing threshold. Nevertheless, we observe the same overall transport behavior, confirming that the transport η can be effectively controlled by adjusting the uniform gain.

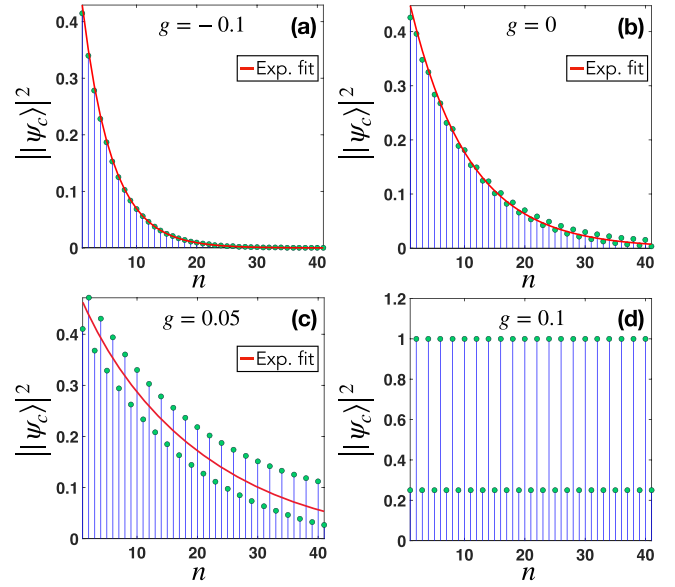


FIG. 5. Intensity distribution across each cavity for a system with $N = 41$ cavities under varying levels of uniform gain g and no disorder. (a) $g = -0.1$, corresponding to higher cavity losses, (b) $g = 0$, (c) $g = 0.05$, and (d) $g = 0.1$. Note that for small values of g the amplitudes exhibit an exponential decay (red line). As the uniform gain increases, the behavior changes significantly and the decay is no longer exponential (c).

While the plots in Fig. 4 clearly demonstrate that the transport dynamics in these driven non-Hermitian arrangements can vary significantly for systems with the same eigenvalue statistics, they do not fully capture the steady-state optical power distribution in the array under the prescribed excitation scheme. To address this, we plot the intensity distribution inside the array for different values of the uniform gain g in Fig. 5. For a passive linear array and relatively moderate gain values, the steady-state optical power predominantly localizes near the excitation site, as shown in Figs. 5(a) and 5(b). Note that in Fig. 5(a), $g = -0.1$ indicates that the array experiences greater loss than in the case of $g = 0$. This behavior is consistent with systems experiencing minimal gain, where energy remains concentrated around the initial excitation point due to limited coupling efficiency. Notably, these intensity distributions align well with exponential functions, highlighted by the red line, emphasizing the localized nature of energy propagation at these gain levels. Near the lasing threshold ($g \approx 0.1002$) the intensity distribution undergoes a transition, becoming evenly distributed across the odd and even array elements. At this point, gain plays a dominant role in determining the transport dynamics.

When considering the potential impact of disorder on these distributions, small values of g result in behavior that remains largely unchanged, with the exponential fit still holding. However, at higher gain values, disorder can cause notable variations, with some realizations showing increased power in specific regions of the array. In particular, disordered lattices may, in certain cases, transition into the lasing regime, which could explain these deviations. For low levels of disorder, carefully chosen to avoid the lasing regime, the distribution changes slightly but remains broadly consistent. Instead of a

perfectly uniform profile, subtle enhancements may appear either at the edges or near the center of the array. These results clearly demonstrate that the transport dynamics in driven non-Hermitian systems can be dramatically altered without varying the eigenvalue statistics. This rather surprising conclusion shed more light on the intriguing behavior of non-Hermitian random media and may open the door to devising new methods for controlling light transport in disordered structures.

Having established that the imaginary gauge can alter the transport dynamics in driven-dissipative systems, we now provide a qualitative and intuitive explanation for this effect. As mentioned earlier, the steady-state solution is governed by the resolvent operator $G(\omega, H_c)$. In the absence of exceptional points, this operator admits the spectral expansion [93–95]

$$G(\omega, H_c) = \sum_m \frac{|\Psi_m^R\rangle \langle \Psi_m^L|}{\omega - \Omega_m}, \quad (8)$$

where $|\Psi_m^R\rangle$ and $\langle \Psi_m^L|$ are the right and left eigenvectors of H_c , associated with the complex eigenvalue Ω_m . As the imaginary gauge parameter g is varied, the eigenvectors remain unchanged, while the eigenvalues Ω_m shift along the imaginary axis. This shift modifies both the magnitude and phase of the prefactor $\frac{1}{\omega - \Omega_m}$ for each term in the sum. Since these changes vary across modes, they collectively alter the outcome of the superposition, ultimately giving rise to the transport behavior observed in the numerical simulations.

V. CONCLUSIONS

In this work, we have explored the impact of disorder and gain on wave transport and intensity distribution in a driven-dissipative coupled optical resonator array. We have first analyzed the spectral characteristics of the array under different types of disorder, presenting a theoretical framework to explain some of the observed spectral features. Next, we have investigated the wave transport dynamics and optical power distribution across and inside the array under a steady-state excitation, and have demonstrated that both features can vary widely as a function of the uniform gain factor. Remarkably, our results have shown that transport dynamics can vary significantly even for arrays with identical eigenvalue statistics. Additionally, we have found that the optical power distribution, when side-excited, has been localized in systems operating below the lasing threshold but has become completely spread across the array as the system has approached the lasing threshold. It would be interesting to also study these ideas in the context of topological systems as done in [96,97]. These results not only have challenged some of the conventional wisdom in the field of wave physics in random media, but also have provided more insight into the interaction between disorder and non-Hermiticity in the context of wave propagation, which may lead to developing new strategies for controlling light transport and trapping.

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DATA AVAILABILITY

The data that support the findings of this article are not publicly available. The data are available from the authors upon reasonable request.

APPENDIX A: SPECTRA AND TRANSPORT ANALYSIS OF SMALL ARRAYS

To illustrate the spectral and transport properties of small photonic arrays, we start with a pedagogical example of a 2×2 photonic system. The Hamiltonian for this system can be expressed as

$$H = \begin{bmatrix} i\gamma & \kappa \\ \kappa & -i\gamma \end{bmatrix}, \quad (A1)$$

where γ represents the gain-loss amplitude and κ the coupling coefficient between the photonic elements. This simple system respects parity-time ($\mathcal{PT}$) symmetry, and when the gain-loss amplitude matches the coupling coefficient ($\gamma = \kappa$), it exhibits a second-order exceptional point. At this EP, both the eigenvalues and eigenvectors coalesce, indicating a critical phase transition and demonstrating the fundamental behavior of $\mathcal{PT}$ -symmetric systems.

In order to examine the spectral features of this system, we consider three different kinds of structured perturbations and analytically derive their eigenvalues,

$$Z_1 = \begin{bmatrix} z_1 & 0 \\ 0 & 0 \end{bmatrix}, \quad Z_2 = \begin{bmatrix} 0 & 0 \\ 0 & z_2 \end{bmatrix}, \quad Z_3 = \begin{bmatrix} z_1 & 0 \\ 0 & z_2 \end{bmatrix}. \quad (A2)$$

It is straightforward to show that at the EP ($\gamma = \kappa$) the eigenvalues of our perturbed Hamiltonian $H + Z_n$ with $n = 1, 2, 3$ are

$$\begin{aligned} \Omega_j &= \frac{z_1 \pm \sqrt{z_1^2 + 4iz_1}}{2} \\ \Omega_j &= \frac{z_2 \pm \sqrt{z_2^2 - 4iz_2}}{2} \\ \Omega_j &= \frac{z_1 + z_2 \pm \sqrt{(z_1 + z_2)^2 + 4i(z_1 + z_2)}}{2}, \end{aligned} \quad (A3)$$

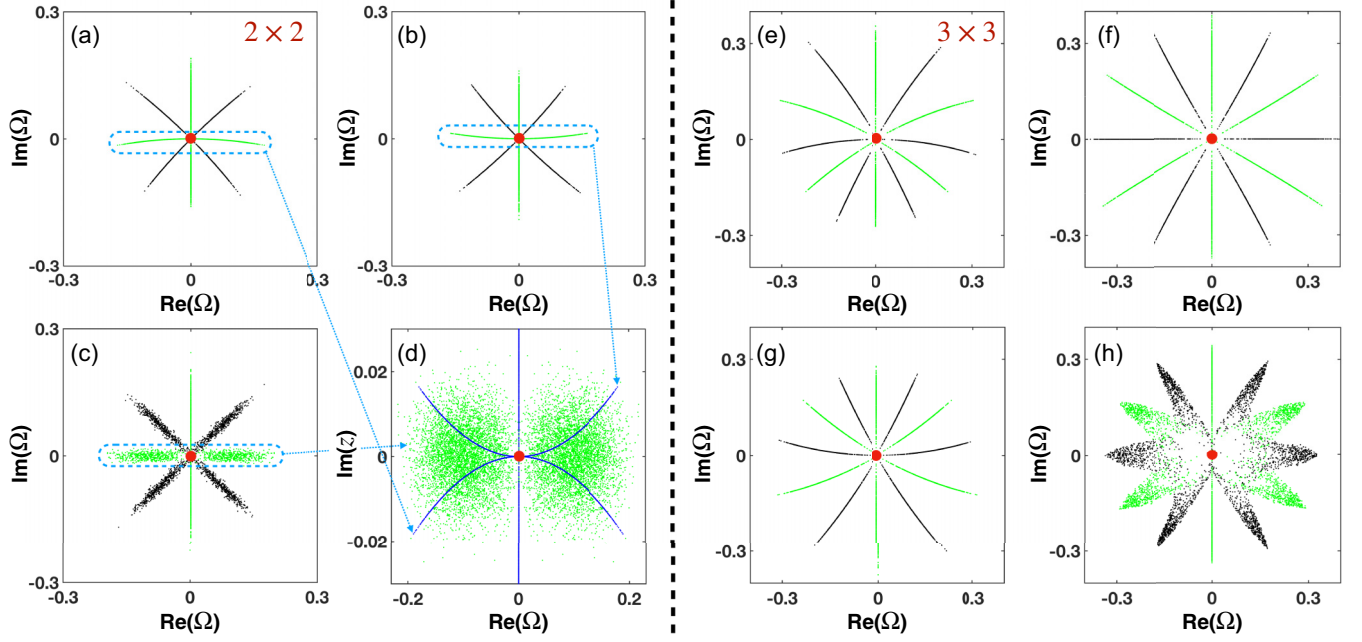


FIG. 6. Demonstration of particle hole symmetry in (a)–(d) a 2×2 non-Hermitian system and (e)–(h) a 3×3 system. The perturbation strength is $\varepsilon = 0.01$ in all cases. Black dots correspond to real perturbations, while green dots represent purely imaginary ones. In (a) and (b), a single diagonal element of the Hamiltonian is perturbed, while in (c), both diagonal elements are perturbed, leading to the formation of extended cloud regions. (d) A zoomed-in view of the blue dashed lines further illustrates the cloud formation. In (e)–(g), only one diagonal element is perturbed, whereas in (h), all three diagonal elements are perturbed simultaneously, resulting in cloud regions when different curvature lines combine. Note that for purely imaginary perturbations, the distribution remains a straight line.

respectively. In the above expressions we set $\gamma = \kappa = 1$ (in normalized units) for simplicity and the indices $j = \{1, 2\}$ denote the two eigenvalues. Since $z_j \ll 1$, we can simplify them with approximate relations as

$$\begin{aligned}\Omega_j &\approx \frac{z_1}{2} \pm \sqrt{z_1} \sqrt{i} \\ \Omega_j &\approx \frac{z_2}{2} \pm 2\sqrt{z_2} \sqrt{-i} \\ \Omega_j &\approx \frac{z_1 + z_2}{2} \pm \sqrt{z_1 + z_2} \sqrt{i}.\end{aligned}\quad (\text{A4})$$

Note here that the considered perturbations are either real ($z_j \in \mathbb{R}$) or purely imaginary ($z_j \in i\mathbb{R}$). In the case of real perturbations, the eigenvalues of $H + Z_1$ and $H + Z_2$ are always complex, indicating that the particle-hole symmetry (PHS) is not respected. However, when the perturbations are purely imaginary, we must examine two distinct scenarios: positive and negative perturbations. When the perturbations are positive, i.e., $z_j > 0$, the eigenvalues of $H + Z_1$ are purely imaginary, respecting the PHS, while the eigenvalues of $H + Z_2$ are complex. Conversely, when the perturbations are negative ($z_j < 0$), the behavior is reversed.

Our results are shown in Figs. 6(a)–6(d) on the complex plane, where black dots correspond to real perturbations and green dots represent purely imaginary perturbations. Figure 6(a) shows the eigenvalue spectra of $H + Z_1$ for $l = 1000$ realizations, Fig. 6(b) for $H + Z_2$, and finally, Fig. 6(c) for $H + Z_3$, with a perturbation strength of $\varepsilon = 0.01$ in all cases. Figure 6(d) zooms into the blue dashed areas of each

subplots, where the color in Figs. 6(a) and 6(b) has been changed from green to blue for improved visualization and to avoid any potential confusion. When there is only one perturbation, the dependence remains a line, despite the breaking of PHS. However, when perturbations are applied to all elements, an elongated cloud forms in the regions where PHS is broken. In the former case, the dependence on perturbations is described by an invertible function, whereas in the latter, it is not. We emphasize here the formation of such clouds when the combined effect of perturbations arises from lines with different slopes. It is important to note that the pure imaginary line remains a straight line when both perturbations (Z_3) are considered. This is a consequence of PHS, as previously explained, since it is conserved in these cases.

Additionally, we examined a 3×3 photonic system, as shown in Figs. 6(e)–6(h). Similar to the 2×2 system, we applied a perturbation strength of $\varepsilon = 0.01$ and $l = 1000$ realizations. Figures 6(e)–6(g) correspond to perturbations applied to a single element of the diagonal, while Fig. 6(h) represents the case where the full diagonal is perturbed. As observed previously, whenever we have lines with different slopes, an elongated cloud forms, while the pure imaginary straight line is preserved.

Having understood the behavior of the eigenvalues in the complex plane for both Hermitian and non-Hermitian perturbations, we now study the transport properties of the system. We consider a configuration consisting of either two or three coupled cavities, each subjected to the same uniform gain. In this scenario, we can derive analytical expressions for the transport η both in the presence and absence of disorder. The

dynamics of the system are governed by Eq. (3), with

$$H_{c,2 \times 2} = \begin{bmatrix} \omega_0 - i\delta & \kappa \\ \kappa & \omega_0 - i\delta \end{bmatrix}$$

$$H_{c,3 \times 3} = \begin{bmatrix} \omega_0 - i\delta & \kappa & 0 \\ \kappa & \omega_0 + ig & \kappa \\ 0 & \kappa & \omega_0 - i\delta \end{bmatrix}, \quad (\text{A5})$$

where ω_0 is the bare resonant frequency of the degenerate modes of the cavities in the absence of coupling. Parameter $\delta = \gamma_w - g$ is a non-Hermitian term that accounts for material gain g and radiation loss through the waveguides γ_w . All the cavities consist of lossy materials, so an additional lossy term, denoted as $-i\gamma_c$, can be added in all the diagonal elements. However, in the subsequent analysis, we omit this term without loss of generality, as it can be removed through a simple gauge transformation.

When excitation is applied from one of the ports, the steady-state field components and the asymmetric power distribution between modes (transport) have been calculated and are given as

$$\eta_2 = \frac{\delta^2}{\kappa^2}, \quad \eta_3 = \frac{(\kappa^2 - \delta g)^2}{\kappa^4}, \quad (\text{A6})$$

where the subscript indices indicate the size of the system, as shown in previous studies [92]. It is evident that the strength of the uniform gain parameter g significantly influences the transport dynamics between the cavities. Unlike an array of waveguides, where a uniform gain or loss distribution typically results in power amplification or dissipation, we observe a completely different outcome in this setup. Here, the uniform gain not only enhances the system's response but also fundamentally alters the optical energy distribution within the structure. This behavior is not dependent on the engineering of the refractive index profile or a specific set of design parameters; rather, it is consistently observed across different configurations, regardless of the chosen design parameters and uniform gain distribution.

We now introduce disorder into the system and examine two scenarios: diagonal disorder and off-diagonal (or coupling) disorder. The corresponding matrices for the 2×2 and 3×3 systems are

$$Z_d = \begin{bmatrix} z_1 & 0 \\ 0 & z_2 \end{bmatrix}, \quad Z_c = \begin{bmatrix} 0 & z_1 \\ z_1 & 0 \end{bmatrix},$$

$$Z_d = \begin{bmatrix} z_1 & 0 & 0 \\ 0 & z_2 & 0 \\ 0 & 0 & z_3 \end{bmatrix}, \quad Z_c = \begin{bmatrix} 0 & z_1 & 0 \\ z_1 & 0 & z_2 \\ 0 & z_2 & 0 \end{bmatrix}, \quad (\text{A7})$$

respectively, where “ d ” denotes diagonal and “ c ” refers to coupling. By recalculating the transport properties for these modified Hamiltonians and modes, we observe that the transport behavior varies depending on the nature and strength

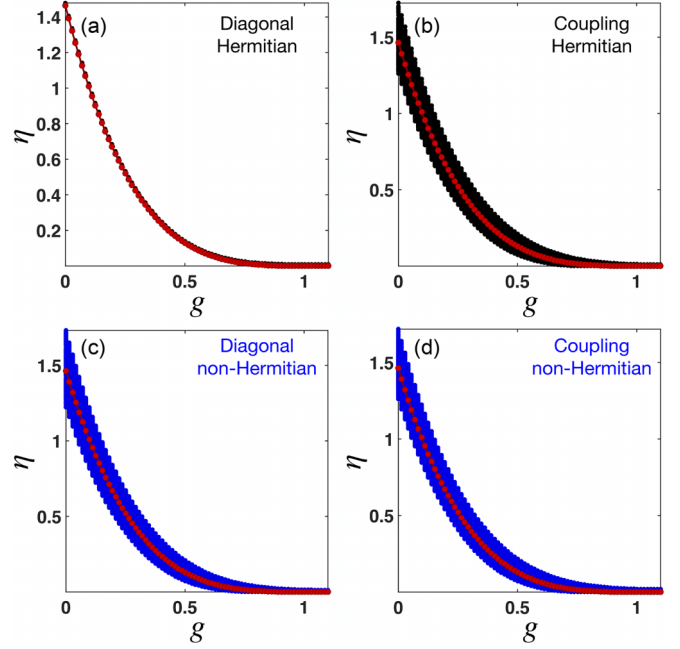


FIG. 7. Transport coefficient for a 3×3 system under different types of disorder. The red line represents the theoretical relation. (a), (b) Hermitian disorder (black dots) applied to the diagonal and off-diagonal elements, respectively. (c), (d) The same analysis for non-Hermitian disorder (blue dots).

of the disorder as follows:

$$\eta_{2,d} = \frac{\delta^2 + z_2^2}{\kappa^2}, \quad \eta_{2,c} = \frac{\delta^2}{(\kappa + z_1)^2}$$

$$\eta_{3,d} = \frac{(\kappa^2 - \delta g - z_2 z_3)^2 + (z_2 \delta - z_3 g)^2}{\kappa^4}$$

$$\eta_{3,c} = \frac{(\kappa^2 - \delta g + 2z_2 \kappa + z_2^2)^2}{(\kappa + z_1)^2 (\kappa + z_2)^2}. \quad (\text{A8})$$

Our results for the transport behavior of a 3×3 non-Hermitian disordered array of cavities are shown in Fig. 7, where we plot the transport as a function of the uniform gain for the same values of the parameters as in the main text. It is important to highlight that our system operates below the lasing threshold, which is at $g \approx 1.11$. In all subplots, the red line represents Eq. (A6), and the disorder strength is of the order of $W = 0.01$ for $l = 1000$ realizations for each value of g . Notably, the average of all realizations yields the red line. Furthermore, the impact of diagonal non-Hermitian disorder is found to be greater than that of Hermitian disorder, as indicated by Eq. (A8) when $z_n \in i\mathbb{R}$. Our results remain quantitatively consistent for both weaker and stronger disorder.

APPENDIX B: TRANSPORT IN LARGE ARRAYS

In this Appendix, we analyze a large array consisting of $N = 101$ coupled cavities, using the same parameter values as outlined in the main text. We calculate the transport properties under both diagonal and off-diagonal complex disorder, with a perturbation strength of $W = 0.1$. Additionally, we examine the intensity distribution within the cavities for varying levels

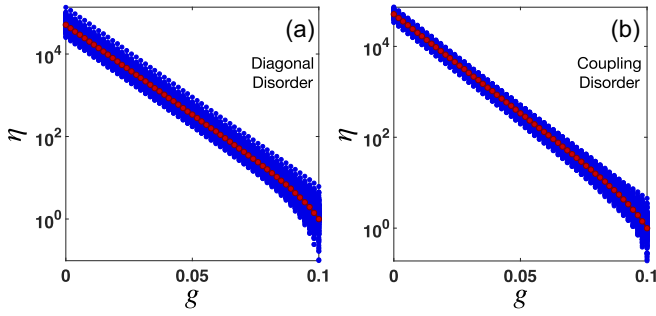


FIG. 8. Transport coefficient on a logarithmic scale for a system of $N = 101$ coupled cavities under (a) diagonal disorder and (b) off-diagonal disorder of strength $W = 0.1$. Even for such large arrays, the behavior is consistent with the results presented in the main text.

of uniform gain g . This analysis extends the insights gained from smaller arrays, allowing us to explore the behavior of the system on a much larger scale.

Figures 8(a) and 8(b) show the transport η on a logarithmic scale as a function of the uniform gain g for both diagonal and off-diagonal disorder, respectively. The results align with those observed in smaller arrays, showing that as the system approaches the lasing threshold, the transport value converges to one. It is important to note that the high values of η observed when $g = 0$ are expected due to the highly localized modes at the edges of the array, which are a consequence of parameter γ_w .

Finally, in Fig. 9 we compute the intensity distribution using the same parameters as in Fig. 5 and in the absence of disorder. For larger arrays, we observe that the exponential

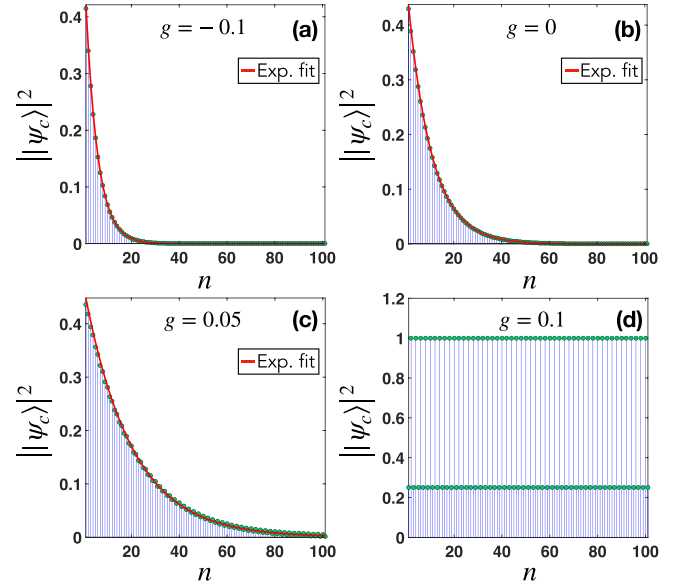


FIG. 9. Intensity distribution across each cavity for a system with $N = 101$ cavities under varying levels of uniform gain g and no disorder. (a) $g = -0.1$, corresponding to higher cavity losses, (b) $g = 0$, (c) $g = 0.05$, and (d) $g = 0.1$. Note that the exponential decay (red line) persists even at higher values of g than in the case of $N = 41$ cavities, until just before the lasing threshold, where the optical power becomes evenly distributed throughout the lattice.

decay persists even for higher values of uniform gain, until the system eventually reaches a steady-state distribution. This behavior indicates that the effects of gain on the intensity profile are consistent across varying array sizes.

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- $$\text{IPR}_m^{(r)} = \frac{\sum_{n=1}^N |\psi_{c,n}^{(r,m)}|^4}{\left(\sum_{n=1}^N |\psi_{c,n}^{(r,m)}|^2\right)^2},$$
- $$\overline{\langle \text{IPR} \rangle} = \frac{1}{Nl} \sum_{r=1}^l \sum_{m=1}^N \text{IPR}_m^{(r)},$$
- where $\psi_{c,n}^{(r,m)}$ denotes the field amplitude at the n th cavity of the m th eigenmode in the r th disorder realization, and l is the total number of realizations.
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